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# Professional Challenge Procedure and Practice Guidance (Child and Adult)

**October 2024 (V2)** 

#### **Version Control**

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Update and Approval Process				
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DSP2	Safeguarding Partnership Project Manager update	October 2024	Revisions required for WT 2023 publication of arrangements; approved by Lead SG Partners	
DSP1.2	Learning and Development Sub Group	January – February 2021	Revised version shared for final consultation, deadline 12/02/21.	
DSP1.1	Business Unit/Task and Finish Group	November 2020	Revisions required following Task and Finish Group to raise awareness of Protocol and implementation of challenge recording template for Level 3 of Procedure	
DSP1	Business Unit	July 2019	Rebranded under new Safeguarding arrangements to reflect Statutory Guidance.	
DSP 2	Business Unit - MASA Project Manager & shared for consultation with Learning & Development Group	October 2024	Revised to reflect new multi-agency safeguarding partnership arrangements in accordance with WTSC 2023. No feedback received from L&D. Published on website.	

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#### **CONTENTS**

Number	Section Title	Page(s)
1	Introduction	4
2	Purpose	5
3	Procedure - How should a practitioner make a challenge? (Flowchart and guidance)	6-7
4	Threshold for Reporting to Statutory Safeguarding Partners (Stage 5)	8
5	Challenging Professional Non-Compliance with the Child Protection process	8
6	Challenging the outcome of a Safeguarding Adult Planning (Strategy) meeting or a Safeguarding Review	9
7	Darlington Safeguarding Partnership Monitoring	9
Appendix 1	Professional Challenge Recording Tool (Level 3)	10-11

#### 1. Introduction

- 1.1 Effective working together depends on an open approach and honest relationships between agencies. When working in the arena of safeguarding, it is inevitable that from time to time there will be practitioner disagreement. Whilst this is understandable and generally acceptable, it is vital that such differences do not affect the outcomes for children and adults with needs for care and support. This procedure provides a multiagency process for resolving practitioner disagreements and ensuring there is effective challenge in the system. It also provides practitioners with advice and support to enable them to escalate concerns where disagreements are not resolved at a practitioner level.
- 1.2 Professional challenge is a positive activity and a sign of good professional practice and effective multi-agency working. Decisions are made on the information available to people at the time. It is acknowledged that there may be times when professionals from differing agencies have differing views, which will need further exploration. Practitioners need to be open to being questioned about how a decision was reached or a particular course of action was taken and should be supported in raising a professional challenge, irrespective of the seniority or status of the practitioner who has made the decision which is disputed.
- 1.3 Both national and local Safeguarding Adults Reviews (SARs) and Child Safeguarding Practice Reviews (CSPRs) continue to draw attention to the importance of interagency communication. They have identified an apparent reluctance to challenge interagency decision making, where concerns were not followed up with robust professional challenge, although this may have altered the professional response and the outcome for the children or adult at risk.
- **1.4** Disagreements can arise in a number of areas of multi-agency working such as:
  - response to a safeguarding concern
  - perceived levels of risk threshold decisions
  - not recognising the signs of harm
  - outcomes of assessments
  - mental capacity issues
  - issues concerning consent and best interest decisions
  - decision making including to convene a meeting/strategy discussion or during multi-agency safeguarding meetings
  - roles and responsibilities of practitioners
  - service provision
  - information sharing and communication in relation to practice, or actions which
    may not effectively ensure the safety or well-being of a child or adult with care
    and support needs, or others within the family, including children
  - recording practices
  - decisions regarding implementation and/or responding to and addressing the
     Executive Strategy Process.
     This procedure sets out a multi-agency framework for dealing with serious safeguarding concerns in organisational settings.

- **1.5** Professional challenge and critical reflection about the focus and intended outcome of intervention should include questioning and being open to professional challenge from colleagues, as well as being confident to challenge others.
- 1.6 Many professional challenges can be resolved on an informal basis by contact between the professional raising the challenge (or their manager) and the agency receiving the challenge and will end there.
- 1.7 Professional challenge is about challenging decisions, practice or actions which may not effectively ensure the safety or well-being of a child, an adult at risk and other family members.

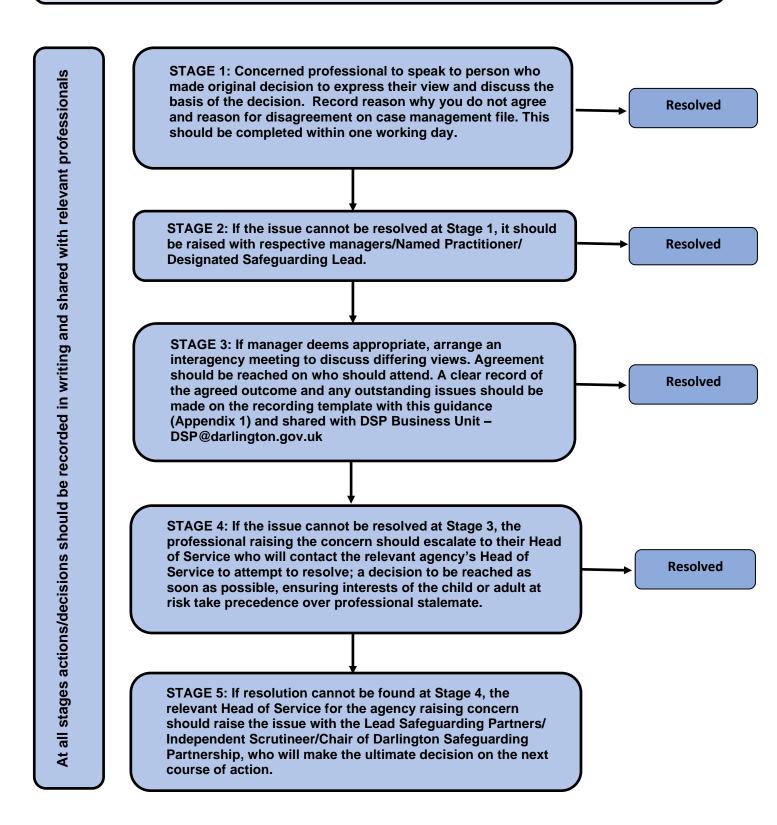
#### **Purpose**

- **2.1** The purpose of this policy is to:
  - Provide a framework that encourages professional challenge in a constructive and non-threatening way
  - Establish processes to ensure a culture of learning which promotes professional challenge is embedded across all agencies
  - Ensure that staff in agencies are competent and confident in challenging practice in the interests of children or adult at risk
- **1.2** The following stages are likely to be involved:
  - identification of area of disagreement
  - recognition there is a disagreement over a significant issue in relation to the safety and wellbeing of a child, an adult at risk or a family member
  - identification of the problem
  - possible cause of the problem
  - what needs to be achieved in order for it to be resolved
- 2.3 At no time must professional disagreement detract from ensuring that a child or an adult at risk is safeguarded.

Any unresolved issues should be escalated with due consideration to the safety and wellbeing of the individual. Every effort should be made to resolve the disagreement as quickly and openly as possible, within a time frame which clearly protects the child or the adult at risk, determined on a case-by-case basis. Effective inter-agency working depends on resolving disagreements to the satisfaction of practitioners and agencies and a belief in a genuine partnership.

2.4 Each organisation within Darlington Safeguarding Partnership should have a procedure in place for dealing with concerns within the organisation and on occasions where concerns need to be raised with another organisation, practitioners should ensure this is escalated as soon as possible and that discussions are clearly recorded.

#### **Professional Challenge Procedure**



#### 4. Guidance

#### Stage 1:

Any Practitioner who is unhappy about a decision, action or inaction of another professional/agency should contact the person/agency who made the decision or took the action to:

- obtain details of the rationale for decision
- express their views and concerns
- attempt to achieve a shared understanding of the issues raised
- · agree a plan of action to be implemented

Professionals who disagree should work with an open and honest approach to resolve the problem. This discussion must take place as soon as possible and should outline the reasons for disagreement. It is good practice to make a record of the reason for disagreement, what was discussed, agreed and follow up telephone correspondence with an email to summarise the key points. This should be completed within one working day.

#### Stage 2:

If the issue cannot be resolved at Stage 1: the involved parties should immediately discuss with their respective line managers/Named Practitioner/Designated Safeguarding Lead. The line manager for the professional raising the challenge should review the concerns and if justified; liaise with the line manager for the professional receiving the challenge to attempt to reach a resolution. Where possible, this should be completed within 3 working days.

#### Stage 3:

If issue is not resolved at Stage 2: if manager deems appropriate, an interagency meeting to discuss differing views should be arranged. Agreement should be reached on who should attend. A clear record of discussion and the agreed outcomes/actions including details of any outstanding issues should be made using the Professional Challenge Recording Tool (Appendix 1). Details of next steps should also be captured. A copy of the recording tool should be shared with the DSP Business Unit (DSP@darlington.gov.uk) for recording purposes.

#### Stage 4:

If the issue cannot be resolved in Stage 3: the professional and/or their manager should escalate to their Head of Service, who will contact the agency's Head of Service to attempt to resolve; a decision to be reached as soon as possible, ensuring the interest of the child or adult at risk take precedence over professional stalemate.

## 4. Stage 5: The threshold for reporting the use of professional challenge to the Statutory Safeguarding Partners/Independent Scrutineer

- **4.1** The threshold for reporting professional challenge to the Statutory Safeguarding Partners is when it becomes necessary to move to Stage 5 in the flowchart (because the issue cannot be resolved at Stages 1-4).
- **4.2** The following information should be provided to the Business Unit by the designated lead/manager for the agency which raised the challenge, (using information in the Professional Challenge Recording Tool and any decisions made at Stage 4) and email to DSP@darlington.gov.uk:
  - What was the challenge?
  - What was done to address the challenge?
  - · What was the outcome of these actions?
  - How was the issue resolved?
  - Are the professionals involved satisfied with the outcome?
- **4.3** The Business Unit will share details of the Professional Challenge with the Lead Safeguarding Partners/Independent Scrutineer/ Chair of Darlington Safeguarding Partnership, who will make the ultimate decision on the next course of action.

## 5. Challenging Professional Non-Compliance within the Child Protection Conference Process

- 5.1 As specified in Working Together to Safeguard Children 2023 (p.91) 'The lead practitioner (Social Worker) is responsible for deciding what action to take and how to proceed following section 47 enquiries. They should make these decisions based on multi-agency discussions informed by the voice of the child.'
- 5.2 'If local authority Children's Social Care decides not to proceed with a child protection conference, then other professionals involved with the child and family have the right to request that local authority children's social care convene a conference if they have serious concerns that a child's welfare may not be adequately safeguarded. As a last resort, the safeguarding partners should have in place a quick and straightforward means of resolving differences of opinion.'
- 5.3 In addition to this, if there are concerns that professionals are not sharing information appropriately in line with national and local guidance and not working within the DSP procedures, professionals should challenge non-compliance. Lack of information at conference or reviews or lack of sharing with parents can impact on the child, potentially putting the child at risk, impact on parental involvement, affect the efficiency of time of all the professionals, and impact upon effective conduct of the meetings. In instances identified above, professionals should follow the procedures as outlined on page 6 of this document.

## 6. Challenging the outcome of an Adult Safeguarding Planning (Strategy) meeting or a Safeguarding Review:

- 6.1 The <u>Care Act 2014</u> and <u>Care and Support Statutory Guidance</u> states that Safeguarding Adult Managers (SAMs) within the Local Authority have overall responsibility for managing safeguarding arrangements in respect of adults with care and support needs, who may be at risk of abuse or neglect.
- **6.2** If a professional disagrees with the outcome of an Adult Safeguarding Planning (Strategy) meeting or a review meeting, then other professionals involved with the adult at risk have the right to challenge the decision in accordance with this policy.
- 6.3 In addition to this, if there are concerns that professionals are not sharing information appropriately in line with national and local guidance and not working within the DSP procedures, professionals should challenge non-compliance. Lack of information at safeguarding planning (strategy) meetings and reviews or lack of sharing with carers and family members, can impact on the adult and impact upon effective conduct of the meetings. In instances identified above, professionals should follow the procedures as outlined on page 6 of this document.

#### 7. Darlington Safeguarding Partnership Monitoring

7.1 The areas of challenge, the use of this procedure and the outcomes must be recorded and will be reported to the Darlington Safeguarding Partnership. Statistical information about professional challenge and the use of this procedure to address professional challenges, will be reported in the DSP Annual report. This procedure will be reviewed in light of any feedback provided to the DSP.



#### **Professional Challenge Recording Tool**

#### Appendix 1

### NOTE: Only to be used at Stage 3 of Procedure, after attempts to resolve at Stage 1 & 2 have been exhausted.

Date Challenge raised:	
Challenge initiated by:	Name:
	Job Role:
	Organisation:
Who issue raised with and organisation:	Name:
and organisation.	Job Role:
	Organisation:
What is the area of disagreement:	
Details of any	
Monitoring Activity: (including details of	
attempts to resolve at	
Level 1 and 2 of	
Procedure) i.e. recording of	
discussions, meetings,	
or email exchange and with whom)	
What do you see as the	
barriers towards	
reaching a resolution?	

Manager agreement to hold interagency meeting and who to invite:	Manager Agreement (Name): Date: Agencies to invite:
Date meeting held and details of discussion and agreed outcome/actions – record details of any outstanding issues:	
Date Resolved or if not resolved, next steps (i.e. escalated to Head of Service – Level 4):	
To be completed by DSI	P Business Unit only:
Individual ID Number – All cases to be entered on Professional Challenge Log for recording purposes	Date recorded:  Ensure details are captured within Performance reporting and included in scorecards for quarterly reporting into Lead Safeguarding Partners.
Details of the stage of the process this issue was resolved – i.e. Stage 3,4 or 5	